

PATENT

Docket No. MTI-31041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ping, et al. Group Art Unit: 2812
Serial No. : 09/816,962 Examiner : A. Roman
Filing Date : March 23, 2001 Confirmation No. : 8677
For: Method For Forming Raised Structures by Controlled Selective Epitaxial Growth
of Facet Using Spacer

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing☐ deposited with the US Postal Service in an envelope addressed to the Asst. Comm. for Patents, Washington, D.C. 20231**37 CFR 1.8(a)****37 CFR 1.10**☐ with sufficient postage as first class mail ☐ As "Express Mail Post Office to Addressee" Mailing Label No.

571-273-0025 Transmission
☒ transmitted by facsimile to Fax No. *703/308-6916* to OFFICE OF PETITIONS at the US Patent and Trademark Office.

Date: 6-8-5

OFFICE OF PETITIONS

Assistant Commissioner for Patents

Washington, D.C. 20231

*ATTN: Francis Hicks***FAX RECEIVED**

JUN 08 2005

OFFICE OF PETITIONS**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, the Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103.

All references listed on the enclosed Form PTO 1449 were previously listed on Form PTO-892 of USSN 10/379,494, which is a division of the present application. Copies of these documents are not provided because they are available in the divisional application. However, if copies are not available, Applicant will provide a copy upon request.

This Information Disclosure Statement is being filed with a Request for Continued Examination under 37 CFR §1.114. Please charge any required fee to Account No. 23-2053.

Return of the enclosed Form 1449 in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,

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Dated: June 8, 2005

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MKE/841254.2

1 of 1

Patent

Attorney Docket No. MTI-31041

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| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 INFORMATION DISCLOSURE STATEMENT BY APPLICANT | ATTY. DOCKET NO. | Serial No. |
| | MTI-31041 | 09/816,962 |
| | Applicant | Confirmation No. |
| | Ping et al. | 8677 |
| | Filing Date | Group Art Unit |
| | 03/23/2001 | 2814 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Patent No. | Issue Date | Patentee | U.S. Class | Sub-Class | Filing Date |
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| | 6,492,232 | 12/10/2002 | Tang | | | |
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PUBLISHED U.S. PATENT APPLICATIONS

| Examiner Initial | Patent No. | Issue Date | Patentee | U.S. Class | Sub-Class | Filing Date |
|------------------|------------|------------|----------|------------|-----------|-------------|
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| Examiner Initial | Document Number | Publication Date | Country | Int'l Class | Sub-Class | Translation (Yes/No) |
|------------------|-----------------|------------------|---------|-------------|-----------|----------------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

| Examiner Initial | Non-Patent Document |
|------------------|---------------------|
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|---|-----------------|
| Examiner Initials | Date Considered |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |